Original Article

Neural Drive and Motor Unit Characteristics of the Serratus Anterior in Individuals With Scapular Dyskinesis

Masahiro Kuniki¹, Yoshitaka Iwamoto², Rei Konishi³, Daisuke Kuwahara¹, Daiki Yamagiwa¹, Nobuhiro Kito³

- ¹Graduate School of Medical Welfare Sciences, Medical Engineering, Hiroshima International University, Higashi-hiroshima, Hiroshima, Japan:
- ²Department of Neuromechanics, Graduate School of Biomedical and Health Sciences, Hiroshima University, Hiroshima, Japan;
- ³Department of Rehabilitation, Faculty of Rehabilitation, Hiroshima International University, Higashi-hiroshima, Hiroshima, Japan

Abstract

Objective: Scapular dyskinesis is one of the causes of shoulder disorders and involves muscle weakness in the serratus anterior. This study investigated whether motor unit (MU) recruitment and firing property, which are important for muscle exertion, have altered in serratus anterior of the individuals with scapular dyskinesis. **Methods**: Asymptomatic adults with (SD) and without (control) scapular dyskinesis were analyzed. Surface electromyography (sEMG) waveforms were collected at submaximal voluntary contraction of the serratus anterior. The sEMG waveform was decomposed into MU action potential amplitude (MUAP $_{AMP}$), mean firing rate (MFR), and recruitment threshold. MUs were divided into low, moderate, and high thresholds, and MU recruitment and firing properties of the groups were compared. **Results**: High-threshold MUAP $_{AMP}$ was significantly smaller in the SD group than in the control group. The control group also exhibited recruitment properties that reflected the size principle, however, the SD group did not. Furthermore, the SD group had a lower MFR than the control group. **Conclusions**: Individuals with scapular dyskinesis exhibit altered MU recruitment properties and lower firing rates of the serratus anterior; this may be detrimental to muscle performance. Thus, it may be necessary to improve the neural drive of the serratus anterior when correcting scapular dyskinesis.

Keywords: EMG Decomposition, Motor Unit, Scapular Dyskinesis, Serratus Anterior, Shoulder

Introduction

Various musculoskeletal disorders can develop as a consequence of cumulative mechanical stress on joint components because of abnormal motion¹. In addition to having many degrees of freedom, the shoulder joint has the largest range of motion in the human body. Its control mechanisms are complex; however, the prevalence of shoulder pain is high². Scapular dyskinesis is defined as the alteration of normal scapular kinematics³. Many forms

The authors have no conflict of interest.

Corresponding author: Nobuhiro Kito, PhD, PT, Department of Rehabilitation, Faculty of Rehabilitation, Hiroshima International University, 555-36, Kurose-gakuendai, Higashi-hiroshima, Hiroshima, Japan E-mail: n-kito@hirokoku-u.ac.jp

Edited by: G. Lyritis Accepted 7 March 2024 of scapular dyskinesis exist, such as decreased upward rotation and posterior tilt and increased internal rotation and elevation³. This abnormal motion increases mechanical stress on the shoulder components, increasing the risk of shoulder injury⁴. Therefore, correcting scapular dyskinesis is important for the prevention and treatment of shoulder injuries.

The serratus anterior is one of the most important muscles involved in scapular stabilization³. Decreased strength^{5,6} and electromyography (EMG) activity^{7,8} of the serratus anterior have been reported in individuals with shoulder pain and scapular dyskinesis. However, according to Seitz et al.⁹, there was no significant difference in terms of the thickness of the serratus anterior among those with and without scapular dyskinesis. Moreover, there is inadequate evidence to support the efficacy of scapular stabilization exercises in correcting scapular motion¹⁰. Taken together, these reports complicate the pathogenesis of scapular dyskinesis and can hinder clinical decision making. Therefore, further research



is warranted to understand its pathogenesis.

The force exerted by a muscle must consider both morphological and neural factors11. A motor unit (MU) is the smallest basic unit of movement which comprises a single motor neuron along with the group of muscle fibers it innervates¹². Increased excitatory synaptic input to the motor neuron pool results in the orderly recruitment of MUs with larger diameter muscle fibers, action potential amplitude, and twitch forces¹³⁻¹⁶. Furthermore, the recruited MUs exhibit different firing properties, depending on their size¹⁷. The recruitment and firing properties of such MUs are evaluated in terms of the recruitment threshold. Low-threshold MUs have low amplitudes and high firing rates, whereas high-threshold MUs have high amplitudes and low firing rates¹⁸. Notably, the amplitude of high-threshold MUs is correlated with muscle strength¹⁹. Furthermore, older adults with presarcopenia do not exhibit hierarchical firing²⁰. Other changes in MU recruitment and firing properties have been reported in patients both with and without disorders^{21,22}. Considering that the weakness of the serratus anterior is closely related to scapular motion, patients with scapular dyskinesis may exhibit altered MU recruitment and firing properties of the serratus anterior, leading to characteristically reduced muscle strength and EMG activity.

MU recruitment and firing properties can be evaluated using surface EMG decomposition (dEMG). Recent improvements in algorithms have made it possible to apply this to dynamic movement tasks²³, expanding the scope of research to a wide variety of populations, muscles, and movements²⁴⁻²⁸. Applying this algorithm to the serratus anterior can potentially help determine how the neuromuscular system is involved in scapular dyskinesis and provide new insights for strengthening and other exercises in clinical practice.

Although scapular dyskinesis also exists in asymptomatic individuals^{29,30}, most studies have focused on symptomatic individuals. However, pain can be a confounding factor affecting EMG activity and maximal muscle strength^{5,8,31}. Furthermore, since asymptomatic scapular dyskinesis may lead to shoulder injury in the future⁴, preventive measures is also crucial for this population. Thus, the purpose of this study was to clarify the MU recruitment and firing properties of the serratus anterior in individuals with asymptomatic scapular dyskinesis. We hypothesize that, in patients with scapular dyskinesis, high-threshold MU has a small amplitude and does not exhibit hierarchical firing in the serratus anterior.

Materials and Methods

Participants

This study included 49 healthy adults (age, 20–28 years) who were enrolled at Hiroshima International University (25 men, 24 women). Participants with 18<BMI<25 and fully capable of raising their upper limbs were included in the study. The exclusion criteria were as follows: current pain or surgery in the neck or upper limb, neurological abnormalities, and athletes or those who regularly engage in strenuous

exercise. Furthermore, individuals with excessive thoracic kyphosis (>50°)^{32,33} and those with a previous diagnosis of scoliosis were excluded, considering the influence of spinal alignment on scapular motion. Thoracic kyphosis was measured by a physical therapist (MK) with 4 years of clinical experience who visually screened spinal alignment and used an inclinometer when necessary.

Evaluation of scapular motion

Scapular motion was evaluated using the scapular dyskinesis test^{34,35}. Participants performed five repetitions of full bilateral flexion and abduction in the thumbs-up position. This was performed again while grasping the dead weights, which were determined based on the participant's body weight³⁴. A video camera (iPhone 11 pro, Apple, USA) was used to capture scapular motion from behind. An examiner (MK) played back the recorded video and rated the scapular motion as normal, subtle, or obvious. Participants evaluated as obvious were assigned to the scapular dyskinesis (SD) group. Obvious dyskinesis was operationally defined as a clearly apparent dysrhythmia or winging of at least 2.54 cm in at least 3 out of 5 trials of either flexion or abduction. Dysrhythmia was defined as premature or excessive scapular protraction or elevation, nonsmooth motion, or rapid downward rotation motion during arm lowering. Winging was defined as a motion in which the medial border and/or inferior angle of the scapula was posteriorly away from the thorax. Normal motion was operationally defined as no evidence of abnormality; such patients were placed in the control group. Individuals with subtle dyskinesis, defined as winging or dysrhythmia that was mild, questionable, or not consistently present, were excluded. Scapular dyskinesis was evaluated in both the dominant and nondominant limbs. If scapular dyskinesis was bilateral or absent, the dominant limb was used for measurement; if scapular dyskinesis was present on one side, the limb on the scapular dyskinesis side was measured. The interrater agreement was 80%, kappa coefficient was 0.63, and intra-rater reliability, which was calculated using the kappa coefficient, was 0.77. This reliability was mostly consistent with that observed in previous studies^{34,35}. After the scapular dyskinesis test, 13 and 20 participants were classified into the control and SD groups, respectively. The sample size was determined by referring to previous studies^{22,36} comparing similar parameters.

Scapular motion was further quantified by three-dimensional motion analysis. Three-dimensional motion of the scapula was measured using the 6-degrees-of-freedom electromagnetic tracking device (Liberty, Polhemus, Colchester, VT, USA) [120 Hz]³⁷. After SDT, sensors were attached to the subject's sternum, acromion, and humerus. To link the sensors to the local coordinate system, digitization was performed using a stylus on the bony landmarks recommended by the International Society of Biomechanics (ISB)³⁸. The participants raised their unilateral upper limb (measurement limb) to the maximum along a plane positioned 40° anteriorly (scapular) from the frontal plane

in the standing position. After sufficient practice, movements were performed in 10 consecutive trials, with each raising and lowering unified for 3 s. The 3D data obtained were imported into Motion Monitor, and joint angles were calculated. The following joint angles were calculated using Euler angles for the rigid models of the thorax, humerus, and scapula. Scapula orientation relative to the thorax (+ internal / - external rotation, + downward / - upward rotation, + posterior / - anterior tilt) and humerus orientation relative to the thorax (humerothoracic) (+ depression / - elevation) were determined. Five trials were arbitrarily selected from eight trials (excluding the first and last of 10 consecutive trials). Each scapula angle (internal/external rotation, upward/downward rotation, and anterior/posterior tilt) was calculated in 10° increments from 20° to 120° and 120° to 20° of humerothoracic elevation (the angles at the start and end of the elevation were also calculated), and the average value from the five trials was considered representative for each participant.

Procedure

After skin conditioning with alcohol and an abrasive skin preparation gel, a 4-pin array sensor (diamond-shaped at 5-mm intervals) (Trigno Galileo Sensor; Delsys Inc., Natick, MA, USA) was attached at the midpoint between the leading edge of the latissimus dorsi and trailing edge of the pectoralis major on the 7th rib³⁹. Voluntary contraction of the serratus anterior muscle was encouraged and confirmed before attachment. Another wireless array sensor (rectangular shape at 10-mm intervals) (Trigno Avanti Sensor; Delsys Inc., Natick, MA, USA) was attached near the Galileo sensor for visual feedback from trapezoidal contraction. Two experienced physical therapists (MK & RK) attached the sensors. Surface EMG (sEMG) waveforms were sampled at 2222 Hz with onboard 20–450 Hz filtering and streamed to EMGworks (Delsys Inc., Natick, MA, USA).

Participants were asked to perform maximal voluntary contraction (MVC) on the serratus anterior while seated with their feet on the ground. The hip and knee joints were at 90°, whereas the upper limb was raised to 125° in the sagittal plane with maximum protraction of the shoulder girdle. The participants were warned beforehand to avoid compensation, such as elevation of the shoulder girdle and anterior tilt of the trunk. The MVC was performed for 5 s, with two trials each, and the sEMG waveform was recorded using a Trigno Avanti sensor. The 50% MVC target for trapezoidal contraction based on the peak root mean square (RMS) values recorded during MVC was projected on a computer monitor placed in front of the participants. The participants performed the trapezoid task using the RMS value (window length 0.25 s) of the sEMG waveform of the serratus anterior as a reference^{24,40}. In the trapezoid task, sEMG activity was increased to the desired level by flexing the upper limb to 125° and protracting the shoulder girdle during the 5-s ramp-up phase, where it was held for 20 s, after which the sEMG activity level was reduced to baseline by lowering the upper limb over 5 s (Figure 1).

After approximately 15 minutes of explanation and practice trials of the task movement, all participants were able to perform the movement. Measurements were taken after a 15-min rest period following the practice trial. If the RMS value deviated from the target value, the measurement was repeated. Sufficient rest periods (5 min) between measurements.

Data analysis

Neuromap software (Delsys Inc., Natick, MA, USA) was used to extract the firing trains of the individual MUs from the four sEMG activity channels. The decomposition accuracy was calculated using the decompose–synthesize–decompose–compare method. The analysis included only MUs with an accuracy of >90%, and the following items were calculated:

1) MU action potential amplitude (MUAP_{AMP}), calculated as the maximum amplitude of the positive and negative MUAP peaks detected from the four EMG channels; 2) recruitment threshold (RT), calculated as the EMG level at which the MU began to fire; and 3) mean firing rate (MFR), calculated from the inverse of the interpulse intervals between MU firing during the plateau phase of the trapezoidal contraction. Based on their RTs, all MUs were classified as either L-RTs (<15%), M-RTs (15%–30%), or H-RTs (>30%)²⁰.

Statistical analysis

Normality of all variables was examined with the Shapiro-Wilk test.

Demographic data, maximum RMS values during serratus anterior MVC, mean relative activity in serratus anterior trapezoidal contraction (20s plateau phase), and RT (total, L-RT, M-RT, H-RT) were compared using a two-sample t-test or Mann-Whitney U test.

Two-way analysis of variance (ANOVA) was performed to compare scapular motion (internal/external rotation, downward/upward rotation, anterior/posterior tilt) between the groups, in each of the raising and lowering phases. The between-participant factor was the groups (control and SD), and the within-participant factor was the humerothoracic elevation angle (raising phase: start, 20°, 30°, 40°, 50°, 60°, 70°, 80°, 90°, 100°, 110°, 120°; lowering phase: 120°, 110°, 100°, 90°, 80°, 70°, 60°, 50°, 40°, 30°, 20°, end). The main effect of the groups and the interaction between the groups and elevation angle were examined.

Two-way ANOVA with group (control, SD) and RT (L-RT, M-RT, H-RT) as factors was performed for MUAP_{AMP} and MFR. If an interaction or main effect was observed, a one-way ANOVA or Kruskal-Wallis test was performed for each group for the three RTs (L-RT vs. M-RT vs. H-RT). Tukey's test or the Steel-Dwass test was used for post-hoc tests. Two-sample t-test or Mann-Whitney U-test was used for intergroup comparisons (control vs. SD) for each RT.

When performing two-way ANOVA, partial eta square (ηp^2) was calculated as the effect size (small, 0.01–0.06; medium, 0.06–0.14; and large, >0.14). When performing two-sample

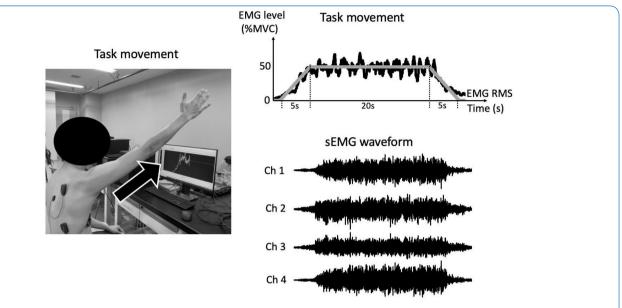


Figure 1. Participants performed trapezius contractions at a 50% EMG level of the maximal voluntary contraction of the serratus anterior. The serratus anterior muscle was contracted by flexing the upper limb to 125° and protracting the shoulder girdle. There was feedback of the RMS values of the serratus anterior EMG in real time during trapezoidal contraction. The sEMG waveforms obtained for the four channels were used for the MU analysis. sEMG, surface electromyography; RMS, root mean square.

 Table 1. Demographic information of study participants.

	Control group (n = 13)	SD group (n = 19)	<i>p</i> -value		
Sex (male/female)	7/6	13/6	0.473		
Right-handed (n)	12	19	0.406		
Age (year)	22.0 ± 1.9	21.5 ± 1.71	0.671		
Height (m)	1.64 ± 0.09	1.68 ± 0.08	0.234		
Weight (kg)	54.1 ± 7.6	59.4 ± 8.6	0.081		
BMI	20.0 ± 1.23	21.0 ± 1.90	0.072		
Mean \pm SD; BMI, body mass index; SD, scapular dyskinesis.					

t-tests and Mann–Whitney U-tests, d (small, 0.20–0.50; medium, 0.50–0.80; large, >0.80) and r (small, 0.30–0.50; medium, 0.50–0.80; large, >0.80) were calculated as effect sizes, respectively. SPSS Statistics 28 (IBM Japan, Tokyo, JP) was used for all statistical analyses. Statistical significance was set at p = 0.05.

Results

One participant in the SD group was excluded because MU data could not be obtained, and data from 13 participants in the control group and 19 participants in the SD group were finally analyzed. There were no significant differences in the

demographic data for each group (Table 1).

Data on the dominant arm side were collected from all subjects. There were no significant differences in the RMS values of the serratus anterior MVC (p = 0.650, r = 0.081) and relative activity during trapezoidal contraction (p = 0.862, d = 0.003). A total of 93 MUs were obtained from the control group and 94 from the SD group. The SD group had a significantly smaller total RT than the control group (p = 0.018, r = 0.174) (Supplementary Table 1).

The data and statistical results of scapular motion are shown in Supplementary Figure 1 and Supplementary Table 2. Two-way ANOVA indicated that the groups have significant main effects on scapular upward rotation (raising: p < 0.001,

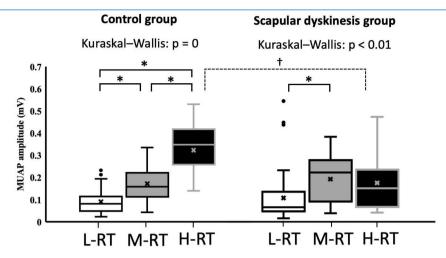


Figure 2. Motor unit action potential amplitude in the control and scapular dyskinesis groups. The motor unit action potential (MUAP) amplitude at each recruitment threshold (RT) is shown as a box-and-whisker diagram. Asterisks (*p <0.01) indicate significant differences in the Steel-Dwass test. Daggers (†p <0.01) indicate significant differences in the two-sample t-test between groups. MUAP_{AMP}, motor unit action potential amplitude; L-RT, motor units recruited at 0%-15% of maximal voluntary contraction (MVC); M-RT, motor units recruited at 16%-30% of MVC; H-RT, motor units recruited at 31%-50% of MVC.

Table 2. Two-way ANOVA for motor unit action potential amplitude and mean firing rate.

	Group		Main effect		Interaction	
	Control	SD	Group	RT	Group × RT	
MUAP _{AMP} (mV)						
L-RT	0.090 ± 0.059	0.107 ± 0.107		**p < 0.001 ***np² = 0.257	**p < 0.001 ††np² = 0.097	
M-RT	0.174 ± 0.075	0.192 ± 0.109	*p = 0.016 †np² = 0.031			
H-RT	0.323 ± 0.109	0.175 ± 0.131	TIP = 0.031	···/p = 0.251	·· ημ = 0.091	
MFR (pps)						
L-RT	18.85 ± 4.28	16.77 ± 4.96	* $p = 0.023$ * $\eta p^2 = 0.028$	**p < 0.001 ^{†††} ηp² = 0.373	p = 0.626 $\eta p^2 = 0.005$	
M-RT	13.91 ± 4.49	13.15 ± 4.68				
H-RT	9.12 ± 4.94	6.79 ± 4.38				

ANOVA, analysis of variance; MFR, mean firing rate; MUAP_{AMP} motor unit action potential amplitude; SD, scapular dyskinesis; RT, recruitment threshold. L-RT, motor units recruited at 0%–15% of maximal voluntary contraction (MVC); M-RT, motor units recruited at 16%–30% of MVC; H-RT, motor units recruited at 31%–50% of MVC; ηp^2 , partial eta square; *p <0.05; **p <0.01; † small effect size; †† medium effect size; †† large effect size.

 ηp^2 = 0.063; lowering: p < 0.001, ηp^2 = 0.063), external rotation (raising: p < 0.001, ηp^2 = 0.111; lowering: p < 0.001, ηp^2 = 0.111), and posterior tilt (raising: p < 0.001, ηp^2 = 0.093; lowering: p < 0.001, ηp^2 = 0.085) angles, all of which were smaller in the SD group than in the control group.

Two-way ANOVA for MUAP_{AMP} revealed an interaction between group and RT (p < 0.001, $\eta p^2 = 0.097$) (Table 2). Kruskal–Wallis for RT showed a main effect for both groups (control p = 0, SD p < 0.001), with post-hoc tests showing

significant differences in all combinations for the control group, but only between L-RT and M-RT for the SD group (Figure 2). Significant differences between the groups at each RT were found only for H-RT (p < 0.001, d = 1.254), with MUAP_{AMP} being significantly greater in the control group than in the SD group (Figure 2). Two-way ANOVA for MFR showed a main effect for each group (p = 0.023, ηp^2 = 0.028) and RT (p < 0.001, p = 0.373), but no interaction (p = 0.736, ηp^2 = 0.005) (Table 2). One-way ANOVA for RT showed a main

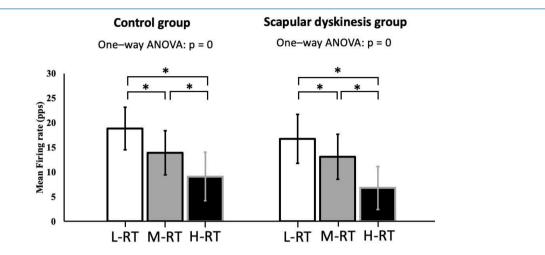


Figure 3. Mean firing rates in the control and scapular dyskinesis groups. The mean firing rate (MFR) at each recruitment threshold (RT) is shown as a box-and-whisker diagram. Asterisks (*p < 0.01) indicate significant differences in the Turkey test. MFR for each RT are shown. Both the groups exhibited hierarchical firing patterns. MFR, mean firing rate; L-RT, motor units recruited at 0%-15% of maximal voluntary contraction (MVC); M-RT, motor units recruited at 16%-30% of MVC; H-RT, motor units recruited at 31%-50% of MVC.

effect for both groups, and the post-tests showed significant differences for all combinations (Figure 3).

Discussion

The SD group had a smaller amplitude of MUs recruited after 30% of the MVC than the control group, confirming the hypothesis. Contrary to the hypothesis, the SD group showed a hierarchical firing pattern, but the firing rate was lower than that of the control group.

This study used SDT for grouping. Lopes et al.⁴¹ used SDT and assigned 19 of 53 participants to the SD group. Burn et al.⁴² demonstrated that SD was present in 33% of nonoverhead athletes. Of the 49 participants in this study, 20 were assigned to the SD group, which is generally consistent with the prevalence of SD obtained in previous studies^{41,42}. In the three-dimensional scapular motion, upward rotation, external rotation, and posterior tilt were also greater in the control group than in the SD group, objectively demonstrating the validity of the grouping.

Although dEMG has been used for a variety of muscles, this study is the first to use it for the serratus anterior. The location of the sEMG sensor has been shown in previous studies low likelihood of crosstalk⁴³. The upper limb flexion of 125° used in the task movement ellicited the highest EMG activity in the serratus anterior and lowest EMG activity in the surrounding muscles⁴⁴. This position also showed no significant difference in amplitude between the EMG activity of the serratus anterior recorded with intramuscular versus surface electrodes⁴³. Therefore, we believe that this was the most appropriate position for promoting EMG activity

in the serratus anterior. Although many studies have provided feedback force data^{19,45}, for this study, trapezoidal contraction tasks have been performed using feedback from sEMG activities. The main actions of the serratus anterior, scapular upward rotation and protraction⁴⁶, also involves muscles other than the serratus anterior. In individuals with scapular winging, there is increased muscle activity around the scapula rather than around the serratus anterior during scapular protraction⁴⁷. Therefore, the sEMG activity of the target serratus anterior might not be sufficiently high if there was feedback from the force data. Feedback of sEMG activity was used to promote the maximum activity of the serratus anterior. Isometric contractions alone were not sufficient to accomplish the task movements in this study, and some dynamic contractions were included. Algorithms for the decomposition of dynamic tasks have already been established²³. Furthermore, only MUs with high decomposition accuracy, wherein the agreement between the original sEMG waveform and reconstructed sEMG waveform exceeded 90%, were analyzed^{23,40}. Due to the lack of previous studies analyzing MU in the serratus anterior, we were unable to collate the results; nevertheless, we believe that the measurement method was valid for the reasons listed above.

Herein, the SD group showed a decrease in RT width, a decrease in the amplitude of high threshold (30%-50% MVC) MUs, and a lower overall firing rate. This result suggests that the SD group shifts the recruitment of MUs to a lower threshold and is unable to recruit more tractional MUs. This may be due to atrophy of muscle cross-sectional area and type II muscle fibers, and reduced neural drive to

the MU pool. Trevino et al.48 reported that the muscle crosssectional area was correlated with MUAP In older adults, whose skeletal muscle is reduced compared with that in younger adults, MU recruitment shifts to a lower threshold and MUAP is also reduced21. Furthermore, the magnitude of $MUAP_{AMP}$ also correlates with muscle fiber size^{14,49}. However, Seitz et al.9 reported no significant differences in the thickness of the serratus anterior, suggesting that morphological factors alone does not explain. A lower firing rate suggests a reduction in neural drive, which may be underlain by prolonged afterhyperpolarization (AHP)⁵⁰ and a decrease in persistent inward currents (PIC)51. This may have prevented a sufficient increase in spinal cord excitability, negatively affecting the increase in synaptic drive in anterior horn cells of the spinal cord and inhibiting the recruitment of larger sized MUs. Such abnormalities in the neuromuscular system may counteract the muscular exertion of the serratus anterior and lead to reduced strength and activity of the serratus anterior. Further research is needed to verify this.

Considering the above factors, improving the neural drive in the serratus anterior could be key to the treatment of scapular dyskinesis. Selkowitz et al52, reported higher activity in the tensor fascia and lower activity in the gluteus medius and gluteus maximus in patients with patellofemoral pain (PFP) than in healthy participants during hip abduction exercise. This suggests the need to activate the gluteus medius and gluteus maximus prior to therapeutic exercise in patients with PFP. The same is true for correcting scapular motion. Some exercises that increase the activity of the serratus anterior, include push-up plus⁵³ and scapular punch⁵⁴. These were proposed based on a study that reported higher activity in the serratus anterior using electromyography. However, this study was conducted in healthy participants; it is unknown whether the same applies to individuals with scapular dyskinesis, wherein the neural drive of the serratus anterior is reduced. Kim et al.47 have shown that individuals with scapular winging, versus healthy participants, have lower serratus anterior activity during scapular protraction and higher activity in the pectoralis major, deltoid, and upper trapezius. Furthermore, our results suggested that exercises that recruit high-threshold MUs are needed in individuals with SD. High-intensity resistance training is recommended to improve MUAP 45. Muscle contraction at relatively low activity levels has previously been recommended for shoulder rehabilitation⁵⁵. However, intervention studies have not provided sufficient evidence to correct scapular motion¹⁰. From this study, it is possible that a load setting of at least 30% MVC is necessary to promote neural drive in the anterior serratus; however, further research is needed to establish a specific method.

This study had several limitations. First, as a cross-sectional study, the causal relationship between scapular dyskinesis and changes in MU recruitment and firing properties could not be determined. Second, the effect of subcutaneous fat could not be considered, and the MUAP_{AMP} size has been reported to affect subcutaneous fat thickness⁵⁶. Nevertheless, participants with 18<BMI<25 were

included, and there were no significant differences in physical characteristics between the groups. Finally, this study was conducted in healthy young adults. Therefore, caution should be exercised when extrapolating the results of this study to other populations.

In conclusion, individuals with scapular dyskinesis had altered MU recruitment pattern during submaximal contraction of the serratus anterior, especially with reduced MUAP_{AMP} that recruited at a higher threshold. Furthermore, the MU firing rate was also decreased. This may be detrimental to the muscle exertion of the serratus anterior, suggesting a neuromuscular mechanism in the pathogenesis of scapular dyskinesis. Thus, it may be necessary to improve neural drive of the serratus anterior before performing scapular stabilization exercises.

Ethics approval

The experimental procedures were conducted in accordance with the Declaration of Helsinki and were approved by the Medical Research Ethics Committee for Humans at Hiroshima International University (No. 22–006).

Consent to participate

Before participation, the purpose, potential benefits, and risks involved in the study were explained to the participants, and written informed consent was obtained.

Author's contributions

M.K. and N.K. conceived and designed research; M.K., R.K. and D.Y. performed experiments; M.K. and D.K. analyzed data; M.K., Y.I. and N.K. interpreted results of experiments; M.K. prepared figures; M.K. drafted manuscript; M.K., Y.I. and N.K. edited and revised manuscript; M.K., Y.I., R.K., D.Y. and N.K. approved final version of manuscript.

Acknowledgments

The authors thank all individuals who participated in this study.

References

- Sahrmann S, Azevedo DC, Dillen LV. Diagnosis and treatment of movement system impairment syndromes. Braz J Phys Ther 2017;21(6):391-399.
- Lucas J, van Doorn P, Hegedus E, Lewis J, van der Windt D. A systematic review of the global prevalence and incidence of shoulder pain. BMC Musculoskelet Disord 2022;23(1):1073.
- Kibler WB, Ludewig PM, McClure PW, Michener LA, Bak K, Sciascia AD. Clinical implications of scapular dyskinesis in shoulder injury: the 2013 consensus statement from the 'Scapular Summit'. Br J Sports Med 2013;47(14):877-85.
- Hickey D, Solvig V, Cavalheri V, Harrold M, McKenna L. Scapular dyskinesis increases the risk of future shoulder pain by 43% in asymptomatic athletes: a systematic review and meta-analysis. Br J Sports Med 2018;52(2):102-110.
- Cools AM, Witvrouw EE, Mahieu NN, Danneels LA. Isokinetic Scapular Muscle Performance in Overhead

- Athletes With and Without Impingement Symptoms. J Athl Train 2005;40(2):104-110.
- Seitz AL, McClelland RI, Jones WJ, Jean RA, Kardouni JR. A Comparison of Change in 3d Scapular Kinematics with Maximal Contractions and Force Production with Scapular Muscle Tests between Asymptomatic Overhead Athletes with and without Scapular Dyskinesis. Int J Sports Phys Ther 2015;10(3):309-18.
- Huang TS, Ou HL, Huang CY, Lin JJ. Specific kinematics and associated muscle activation in individuals with scapular dyskinesis. J Shoulder Elbow Surg 2015;24(8):1227-34.
- Ludewig PM, Cook TM. Alterations in shoulder kinematics and associated muscle activity in people with symptoms of shoulder impingement. Phys Ther 2000;80(3):276-91.
- Seitz AL, Baxter CJ, Benya K. Muscle thickness measurements of the lower trapezius with rehabilitative ultrasound imaging are confounded by scapular dyskinesis. Man Ther 2015;20(4):558-63.
- Nodehi Moghadam A, Rahnama L, Noorizadeh Dehkordi S, Abdollahi S. Exercise therapy may affect scapular position and motion in individuals with scapular dyskinesis: a systematic review of clinical trials. J Shoulder Elbow Surg 2020;29(1):e29-e36.
- 11. Enoka RM, Duchateau J. Rate Coding and the Control of Muscle Force. Cold Spring Harb Perspect Med 2017;7(10).
- 12. Duchateau J, Enoka RM. Human motor unit recordings: origins and insight into the integrated motor system. Brain Res 2011;1409:42-61.
- Goldberg LJ, Derfler B. Relationship among recruitment order, spike amplitude, and twitch tension of single motor units in human masseter muscle. J Neurophysiol 1977;40(4):879-90.
- Hakansson CH. Conduction velocity and amplitude of the action potential as related to circumference in the isolated fibre of frog muscle. Acta Physiol Scand 1956;37(1):14-34.
- 15. Milner-Brown HS, Stein RB. The relation between the surface electromyogram and muscular force. J Physiol 1975;246(3):549-69.
- Milner-Brown HS, Stein RB, Yemm R. The orderly recruitment of human motor units during voluntary isometric contractions. J Physiol 1973;230(2):359-70.
- 17. Henneman E. Relation between size of neurons and their susceptibility to discharge. Science 1957; 126(3287):1345-7.
- 18. De Luca CJ, Erim Z. Common drive of motor units in regulation of muscle force. Trends Neurosci 1994; 17(7):299-305.
- Herda TJ, Trevino MA, Sterczala AJ, et al. Muscular strength and power are correlated with motor unit action potential amplitudes, but not myosin heavy chain isoforms in sedentary males and females. J Biomech 2019;86:251-255.
- 20. Hirono T, Takeda R, Nishikawa T, et al. Motor unit firing

- patterns in older adults with low skeletal muscle mass. Arch Gerontol Geriatr 2023;116:105151.
- Girts RM, Mota JA, Harmon KK, MacLennan RJ, Stock MS. Vastus Lateralis Motor Unit Recruitment Thresholds Are Compressed towards Lower Forces in Older Men. J Frailty Aging 2020;9(4):191-196.
- Nuccio S, Del Vecchio A, Casolo A, et al. Deficit in knee extension strength following anterior cruciate ligament reconstruction is explained by a reduced neural drive to the vasti muscles. J Physiol 2021;599(22):5103-5120.
- 23. De Luca CJ, Chang SS, Roy SH, Kline JC, Nawab SH. Decomposition of surface EMG signals from cyclic dynamic contractions. J Neurophysiol 2015; 113(6):1941-51.
- 24. Aoyama T, Kohno Y. Differences in motor unit firing properties of the vastus lateralis muscle during postural and voluntary tasks. Front Physiol 2022;13:955912.
- Contessa P, Letizi J, De Luca G, Kline JC. Contribution from motor unit firing adaptations and muscle coactivation during fatigue. J Neurophysiol 2018;119(6):2186-2193.
- 26. Madarshahian S, Letizi J, Latash ML. Synergic control of a single muscle: The example of flexor digitorum superficialis. J Physiol 2021;599(4):1261-1279.
- 27. Orantes-Gonzalez E, Heredia-Jimenez J, Lindley SB, Richards JD, Chapman GJ. An exploration of the motor unit behaviour during the concentric and eccentric phases of a squat task performed at different speeds. Sports Biomech 2023:1-12.
- 28. Yokoyama H, Kaneko N, Sasaki A, Saito A, Nakazawa K. Firing behavior of single motor units of the tibialis anterior in human walking as non-invasively revealed by HDsEMG decomposition. J Neural Eng 2022;19(6).
- 29. Pluim BM. Scapular dyskinesis: practical applications. Br J Sports Med 2013;47(14):875-6.
- 30. Preziosi Standoli J, Fratalocchi F, Candela V, et al. Scapular Dyskinesis in Young, Asymptomatic Elite Swimmers. Orthop J Sports Med 2018;6(1):2325967117750814.
- Michener LA, Sharma S, Cools AM, Timmons MK. Relative scapular muscle activity ratios are altered in subacromial pain syndrome. J Shoulder Elbow Surg 2016;25(11):1861-1867.
- Thigpen CA, Padua DA, Michener LA, et al. Head and shoulder posture affect scapular mechanics and muscle activity in overhead tasks. J Electromyogr Kinesiol 2010;20(4):701-9.
- Vialle R, Levassor N, Rillardon L, Templier A, Skalli W, Guigui P. Radiographic analysis of the sagittal alignment and balance of the spine in asymptomatic subjects. J Bone Joint Surg Am 2005;87(2):260-7.
- 34. McClure P, Tate AR, Kareha S, Irwin D, Zlupko E. A clinical method for identifying scapular dyskinesis, part 1: reliability. J Athl Train 2009;44(2):160-4.
- 35. Tate AR, McClure P, Kareha S, Irwin D, Barbe MF. A clinical method for identifying scapular dyskinesis, part 2: validity. J Athl Train 2009;44(2):165-73.

- 36. Watanabe K, Holobar A, Kouzaki M, Ogawa M, Akima H, Moritani T. Age-related changes in motor unit firing pattern of vastus lateralis muscle during low-moderate contraction. Age (Dordr) 2016;38(3):48.
- Kuniki M, Iwamoto Y, Kito N. Effects of core stability on shoulder and spine kinematics during upper limb elevation: A sex-specific analysis. Musculoskelet Sci Pract 2022;62:102621.
- 38. Wu G, van der Helm FC, Veeger HE, et al. ISB recommendation on definitions of joint coordinate systems of various joints for the reporting of human joint motion-Part II: shoulder, elbow, wrist and hand. J Biomech 2005;38(5):981-992.
- Ekstrom RA, Bifulco KM, Lopau CJ, Andersen CF, Gough JR. Comparing the function of the upper and lower parts of the serratus anterior muscle using surface electromyography. J Orthop Sports Phys Ther 2004;34(5):235-43.
- De Luca CJ, Hostage EC. Relationship between firing rate and recruitment threshold of motoneurons in voluntary isometric contractions. J Neurophysiol 2010;104(2):1034-46.
- Lopes AD, Timmons MK, Grover M, Ciconelli RM, Michener LA. Visual scapular dyskinesis: kinematics and muscle activity alterations in patients with subacromial impingement syndrome. Arch Phys Med Rehabil 2015;96(2):298-306.
- 42. Burn MB, McCulloch PC, Lintner DM, Liberman SR, Harris JD. Prevalence of Scapular Dyskinesis in Overhead and Nonoverhead Athletes: A Systematic Review. Orthop J Sports Med 2016;4(2):2325967115627608.
- Hackett L, Reed D, Halaki M, Ginn KA. Assessing the validity of surface electromyography for recording muscle activation patterns from serratus anterior. J Electromyogr Kinesiol 2014;24(2):221-7.
- 44. Ekstrom RA, Soderberg GL, Donatelli RA. Normalization procedures using maximum voluntary isometric contractions for the serratus anterior and trapezius muscles during surface EMG analysis. J Electromyogr Kinesiol 2005;15(4):418-28.
- 45. Sterczala AJ, Miller JD, Dimmick HL, Wray ME, Trevino MA, Herda TJ. Eight weeks of resistance training increases strength, muscle cross-sectional area and motor unit size, but does not alter firing rates in the

- vastus lateralis. Eur J Appl Physiol 2020;120(1):281-294
- Neumann DA, Camargo PR. Kinesiologic considerations for targeting activation of scapulothoracic muscles - part 1: serratus anterior. Braz J Phys Ther 2019;23(6):459-466.
- 47. Kim JS, Kim MH, Ahn DH, Oh JS. Comparison of Shoulder Protraction Strength and Electromyography Activity of Serratus Anterior and Pectoralis Major in Subjects With or Without a Winged Scapula. J Sport Rehabil 2019;28(3):272-277.
- Trevino MA, Sterczala AJ, Miller JD, et al. Sex-related differences in muscle size explained by amplitudes of higher-threshold motor unit action potentials and muscle fibre typing. Acta Physiol (Oxf) 2019;225(4):e13151.
- 49. Olson CB, Carpenter DO, Henneman E. Orderly recruitment of muscle action potentials. Arch Neurol 1968;19(6):591-7.
- 50. Christie A, Kamen G. Short-term training adaptations in maximal motor unit firing rates and after hyperpolarization duration. Muscle Nerve 2010;41(5):651-60.
- 51. Heckman CJ, Johnson M, Mottram C, Schuster J. Persistent inward currents in spinal motoneurons and their influence on human motoneuron firing patterns. Neuroscientist 2008;14(3):264-75.
- 52. Selkowitz DM, Beneck GJ, Powers CM. Persons with patellofemoral pain exhibit altered hip abductor muscle recruitment while performing hip abductor exercises. Physiother Theory Pract 2022:1-10.
- 53. Ludewig PM, Hoff MS, Osowski EE, Meschke SA, Rundquist PJ. Relative balance of serratus anterior and upper trapezius muscle activity during push-up exercises. Am J Sports Med 2004;32(2):484-93.
- 54. Kaur N, Bhanot K, Brody LT, Bridges J, Berry DC, Ode JJ. Effects of lower extremity and trunk muscles recruitment on serratus anterior muscle activation in healthy male adults. Int J Sports Phys Ther 2014;9(7):924-37.
- Kibler WB, Sciascia AD, Uhl TL, Tambay N, Cunningham T. Electromyographic analysis of specific exercises for scapular control in early phases of shoulder rehabilitation. Am J Sports Med 2008;36(9):1789-98.
- 56. Farina D, Holobar A, Merletti R, Enoka RM. Decoding the neural drive to muscles from the surface electromyogram. Clin Neurophysiol 2010;121(10):1616-23.

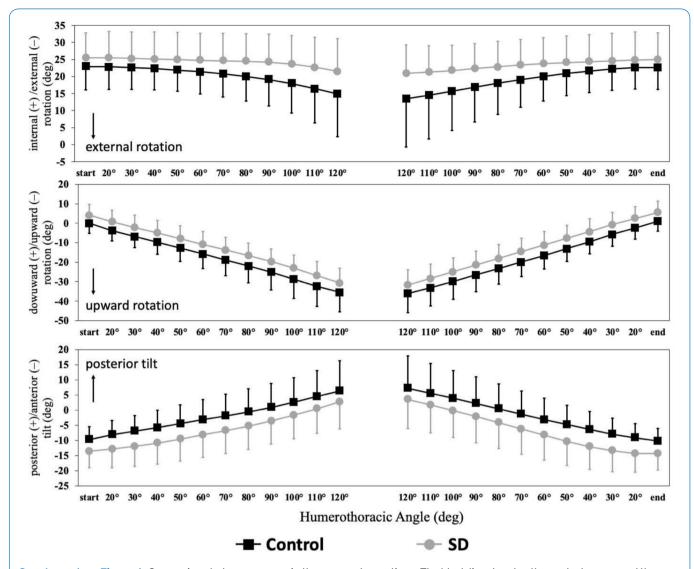
Supplementary Table 1. Information on MVC and number of motor units.

	Control group	SD group	<i>P</i> -value	Effect size
MVC (mV)	0.30 ± 0.11	0.31 ± 0.21	0.650	r = 0.081
50% MVC task (%)	46.7 ± 1.9	46.8 ± 3.0	0.862	d = 0.003
RT range (%)				
Total	21.7 ± 10.8	18.5 ± 10.7	*0.018	†r = 0.174
L-RT	9.8 ± 4.3	10.5 ± 3.0	0.370	†r = 0.163
M-RT	23.6 ± 4.6	23.7 ± 4.0	0.836	r = 0.025
H-RT	35.0 ± 5.0	38.7 ± 5.9	0.055	^{††} r = 0.318

MVC, Peak RMS (root mean square) of the serratus anterior EMG (electromyography) during MVC (maximum voluntary contraction). 50% MVC task, relative activity of serratus anterior during trapezoidal contraction in plateau phase. RT range, mean \pm standard deviation of RT; MU, motor unit; RT, recruitment threshold, SD, scapular dyskinesis; L-RT, motor units recruited at 0%–15% of MVC; M-RT, motor units recruited at 16%–30% of MVC; H-RT, motor units recruited at 31%–50% of MVC; ηp^2 , partial eta square; *p < 0.05; † small effect size; ††† large effect size.

Supplementary Table 2. Results of two-way ANOVA in scapular motions.

		Main effect				Interaction	
	Phase	Group		Elevation angle		Group × elevation angle	
		р	ηp²	р	ηp²	р	ηp²
Internal/External rotation	raising	*<0.001	††0.063	0.058	†0.051	0.991	0.008
	lowering	*<0.001	††0.063	*0.007	††0.068	0.969	†0.011
Downward/Upward rotation	raising	*<0.001	^{††} 0.111	*<0.001	†††0.702	1.000	0.001
	lowering	*<0.001	^{††} 0.111	*<0.001	†††0.735	1.000	0.000
Posterior/Anterior tilt	raising	*<0.001	††0.093	*<0.001	†††0.331	1.000	0.001
	lowering	*<0.001	^{††} 0.085	*<0.001	†††0.366	1.000	0.002
ANOVA, analysis of variance; ηp^2 , partial eta square; *p < 0.01; † small effect size; †† medium effect size; †† large effect size.							



Supplementary Figure 1. Comparison between groups in three scapular motions. The black line denotes the control group, and the gray line denotes the SD group. The vertical axis indicates the angle of each scapulothoracic rotation, and the horizontal axis indicates the angle of humerothoracic raising. There was a significant group main effect for all scapular motions. SD, scapular dyskinesis.