

Original Article

Normative data for isometric strength of 8 different muscle groups and their usefulness as a predictor of loss of autonomy among physically active nursing home residents: the SENIOR cohort

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Abstract

Objectives: To provide normative values for isometric strength of 8 different muscle groups among nursing home residents and to investigate their predictive value for the decline of autonomy. **Methods:** This is an analysis of the 1-year follow-up of the SENIOR cohort. At baseline, isometric muscle strength of residents has been assessed for 8 muscle groups using the MicroFET2. The cut-off threshold for low relative isometric muscle strength was defined as the lower quartile. The outcome was the 1-year loss of autonomy (i.e. a decrease of \geq 1 point on the ADL scale between baseline and 12-month follow-up). Logistic regressions were carried out to assess the predictive value of isometric muscle strength for the loss of autonomy. **Results:** 204 subjects (83.2±8.99 years, 72.5% women) were included. Threshold values of isometric strength were: knee flexors=0.94, knee extensors=1.07, ankle flexors=0.77, ankle extensors=0.88, hip abductors=0.78, hip extensors=0.79, elbow flexors=0.99 and elbow extensors= 0.71 N/kg. After adjustment for age and sex, the cut-off values for knee extensors (p=0.04) and for ankle extensors (p=0.03) were significantly predictive of loss of autonomy. **Conclusions:** The normative values for knee extensors and ankle extensors are independent predictors for loss of autonomy.

Keywords: Isometric Strength, Normative Data, Loss of Autonomy, Dependence, Nursing Home

Background

Recent clinical and epidemiological studies have shown that muscle strength of middle age and older adults is an important marker of current health, and it is essential to follow people during ageing, injury and rehabilitation¹. The change in muscle function contributes to the onset and progression of disability and frailty^{2,3}. Moreover, muscle strength is associated with motor skills and locomotion⁴, one of the five

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domains (locomotion, sensory, cognition, psychological, vitality) constituting the intrinsic capacity construct defined by the WHO⁵. Muscle strength is an important predictor of dependence^{6.7}. Indeed, previous cross-sectional studies have demonstrated that muscle strength is significantly associated with functional limitations such as walking speed^{8.9}. Moreover, a minimum level of muscle strength is needed to carry out necessary tasks, such as walking, dressing, etc. Below this minimum threshold level, people are unable to complete these tasks⁶.

As the general population increases in age, there is a need for a valid and reliable tool that can quickly and accurately screen for autonomy decline¹⁰. Autonomy decline is a term used to reflect the loss of an individual's ability to independently and safely perform activities of daily living (ADL) (usually at home, in the community)¹¹. Basic ADL include the fundamental skills typically needed to manage basic physical needs (i.e. everyday tasks), comprised the following areas: bathing,

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dressing, feeding, continence, transferring, and toileting¹² and instrumental ADL address higher-level tasks, related to independent living in the community (shopping, driving, managing medication and banking)¹³. In this study performed in nursing home setting, we focus on the assessment to independently carry out basic ADL.

A normal part of ageing is the progressive and significant decrease in muscle function (i.e., muscle strength and muscle performance)⁴. Maximum muscle strength occurs between the 20th and 30th years of life¹⁴. Pronounced changes in the ageing process take place after the 40th year of life¹⁴. An annual loss of muscle strength of 1.5% is observed up to age 60, and after the sixth decade, the decline amounts to 3% per year¹⁵. In the literature, muscle function beyond 80 years has not been quantified, specifically in the nursing home setting.

The criterion-referenced assessment of muscle strength involves fixed laboratory-based dynamometers (i.e., isokinetic devices)16. A limitation of laboratory-based dynamometers is that they are expensive and not handheld, which precludes their use as a clinically feasible device in routine patient assessment and in specific conditions (i.e., nursing home settings)¹⁷. Thus, low-cost and portable dynamometers are an appropriate and convenient method to assess muscle strength in clinical and research practice, if they have been previously validated^{16,18}. We previously validated the MicroFET2 hand-held dynamometer (Hoggan Industries, Inc., West Jordan, UT, USA) for obtaining muscle strength measures in a nursing home setting¹⁸. We concluded that, by using standardized protocol and delivering standardized instructions to patients, the test-retest (intraand interobserver) of the muscle strength assessed on eight muscle groups with the MicroFET2 dynamometer is highly reliable, excepted for the ankle extensors (intra- and interobserver) and for ankle flexors (intra-observer), making this hand-held dynamometer a potential tool for research in the elderly population. However, these devices still have drawbacks, particularly the absence of large-sample normative data, especially for lower extremity muscle groups and for a specific population such as nursing home residents. The normative data is device dependant¹⁹. It has been suggested that normative values differ between different equipments and are not interchangeable.

Since the 1980s, several studies were performed with the aim to establish reference values for muscle strength for some of these equipment or methods²⁰. Admittedly, it is important to define a clinically standardized cut-off point to identify dynapenic individuals (subjects who have a loss of muscle strength) using isometric strength, which could lead to the efficient identification of patients at risk of autonomy decline²¹. Indeed, early detection of older adults at risk for losing physical independence and better comprehension of the associated risk factors are key determinants for healthy ageing²². The use of the cut-off for muscle strength data can help to identify patients who are at a higher risk of loss of autonomy. As strength is highly modifiable, strength tests could be a feasible method for early screening of the population to identify those at risk⁶. In these persons, exercise intervention could improve strength and potentially lower the risk of subsequent loss of autonomy. Thus, this paper aimed to provide normative values for isometric strength, normalized to body weight, of 8 different muscle groups for nursing home residents and to investigate the usefulness of isometric strength as a predictor of autonomy decline among nursing home residents.

Methods

Study design and population

This is an analysis of the 1-year follow-up of the SENIOR cohort (Sample of Elderly Nursing home Individuals: an Observational Research)²³. The cohort was composed of 662 residents from 28 nursing homes in Liège, Belgium. All the initial data were collected between November 2013 and August 2015 (TO). A second assessment was performed after one year of follow-up (T12). The selection criteria of the SENIOR population were: (1) living in a nursing home in the Province of Liège that was included in this study; (2) being oriented, to provide informed consent and understand the tests; and (3) being able to walk and stand, including with technical assistance. No specific exclusion criterion was defined. All subjects who had both isometric muscle strength assessment at TO and level of autonomy assessment at TO and T12 were included in the current analysis. The study was approved by the Ethics Committee of the University Teaching Hospital of Liège under number 2013/178.

Study parameters

Isometric strength of 8 different muscle groups

Maximal isometric muscle strength from 8 different muscle groups (knee extensors and flexors, hip abductors and extensors, ankle flexors and extensors, elbow flexors and extensors) was measured by the investigators (i.e. PhD student, M.Sc student) using a MicroFET2 hand-held dynamometer (Hogan Health Industries, Inc., 8020 South 1300 West, West Jordan, UT, USA). These muscles were chosen because of their strong involvement in the movements of daily living and, therefore, their importance in maintaining autonomy²⁴⁻²⁶. Measurements were performed on the dominant side (writing hand and kicking leg). The protocol was standardized¹⁷. It consisted of three consecutive maximal contractions for each muscle group, preceded by 3 warm-up trials. Subjects were first shown the movement to be tested and then asked to perform it to confirm their understanding of the movement. Finally, the warm-up trials were performed. The three measurements were taken with 30 seconds intervals between contractions. Subjects were asked to gradually increase their muscle force to a maximum effort which had to be sustained for six seconds. Investigators (i.e. Trained PhD student, M.Sc student) provided standardized encouragement. The highest performance measurement was considered for analysis. The following sequence was employed: knee flexors, knee extensors, ankle flexors, ankle

Isometric strength (N/kg)	Total population (n=204)	Autonomy decline (n=66)	No autonomy decline (n=138)	p-value
Knee flexors	1.29 ± 0.51	1.25 ± 0.47	1.33 ± 0.48	0.26
Knee extensors	1.58 ± 0.86	1.45 ± 0.62	1.75 ± 0.95	0.04
Ankle flexors	1.16 ± 0.60	1.04 ± 0.52	1.34 ± 0.72	0.09
Ankle extensors	1.37 ± 0.70	1.27 ± 0.58	1.46 ± 0.58	0.03
Hip abductors	1.18 ± 0.51	1.09 ± 0.46	1.23 ± 0.54	0.09
Hip extensors	1.27 ± 0.62	1.16 ± 0.52	1.40 ± 0.69	0.02
Elbow flexors	1.36 ± 0.53	1.37 ± 0.54	1.45 ± 0.54	0.33
Elbow extensors	0.96 ± 0.38	0.94 ± 0.37	1.01 ± 0.36	0.27

Table 1. Isometric strength normalized to body weight for each muscle group, according to autonomy decline.

extensors, hip abductors, hip extensors, elbow flexors and elbow extensors. The precise testing positions are described within the literature¹⁷.

Decline of autonomy

The decline of autonomy was defined as a \ge 1-point decrease on the Katz ADL scale between baseline and 12-month follow-up^{12,27}. The Katz ADL scale contains six items (bathing, dressing, toileting, transferring, continence and feeding). A score ranging from 1 to 4 is attributed to each item depending on how independent the individual is when performing the activity. Higher scores indicate higher dependence in ADLs²⁸.

Demographic characteristics

All subjects were interviewed by a clinical research assistant at baseline to obtain the socio-demographic data. These data included age, gender, weight (to the nearest 0.1 kg) and BMI. Residents were considered having participated in the exercise classes if they attended exercise classes organized by the nursing home in the last two weeks prior to the evaluation. To ensure that residents understood the exercise techniques, cognitive abilities were previously assessed with the Mini-Mental State Examination (MMSE), which consists of a 30item questionnaire. A maximum score of 30 is attainable for a person without any neuropsychological impairments. Any score greater than or equal to 27 points indicates normal cognition. Below this cut-off, scores can indicate severe (9 points), moderate (10-18 points) or mild (19-24 points) cognitive impairment²⁹.

Statistical analysis

Quantitative variables that were normally distributed were expressed as a mean \pm standard deviation, and quantitative variables that were not normally distributed were reported as the median and percentiles (25th-75th). The Shapiro-Wilk test verified the normal distribution of all parameters. Qualitative variables were reported as absolute and relative frequencies (%). Comparison of isometric strength (i.e., for eight different muscle groups) between subjects with autonomy decline (i.e. \geq 1-point decrease on the Katz ADL scale between baseline and 12-month follow-up) and subjects without autonomy decline was assessed by means of the Student's t-test.

The cut-off value of isometric strength of each muscle was calculated using the lowest guartile point. Then, the association between isometric muscle strength cut-off value and the decline of autonomy was assessed, in univariate analysis, using the Chi-squared test. Logistic regression analyses were used to test this association, in multivariate analysis (i.e., adjustment on age and sex). Finally, sensibility, specificity, positive predictive value (PPV), and negative predictive value (NPV) of the muscle strength were calculated³⁰, and the usefulness of the cut-off values for isometric strength to predict the decline of autonomy was assessed by means of the area under the ROC curve (AUC). An AUC value of 0.5 indicates no discriminative value. ROC curves with an AUC ≤0.75 are not clinically useful and an AUC of 0.97 has a very high clinical value³¹. By means of a Chi-squared test, post hoc analyses were also conducted to compare the risk of autonomy decline between the weakest 25% and the strongest 25% subjects.

The data analyses were performed using Statistica12 software (TIBCO Statistica, Palo Alto, CA). The results were considered statistically significant when the 2-tailed P values were less than .05.

Results

Sample

Out of the 662 residents from the SENIOR cohort, 204 were included in the current analysis. The excluded residents did not have complete assessments (i.e., isometric strength assessment at TO, as well as assessment of the level of autonomy at TO and T12). The mean age of the sample was 83.7 ± 8.52 years, with 71.5% of the sample being female. The average weight of the population was 65.7 ± 14.8 kg and the mean BMI was 26.3 ± 5.78 kg/m². The mean MMSE score was 24.8 ± 4.28 points. About a quarter of the population, 23.8% took part in exercise class proposed in nursing home. Within the studied sample, 66 (32.4%) subjects had a loss of autonomy

	Isometric strength (N/kg)						
Muscle groups	P25 valu						
	Total population	Women	Men				
Knee flexors	0.94	0.89	1.06				
Knee extensors	1.07	1.01	1.26				
Ankle flexors	0.77	0.72	0.85				
Ankle extensors	0.88	0.84	0.97				
Hip abductors	0.78	0.72	0.93				
Hip extensors	0.79	0.74	0.95				
Elbow flexors	0.99	0.90	1.27				
Elbow extensors	0.71	0.65	0.93				

Table 2. Isometric muscle strength reference thresholds for 8 different muscle groups.

Table 3. Association between isometric muscle strength reference thresholds and the decline of autonomy.

Muscle groups	Loss of autonomy OR (95% CI)	p-value	p-value*	AUC (95% CI)	Sensibility (%)	Specificity (%)	PPV (%)	NPV (%)
Knee flexors	0.44 (0.76-2.75)	0.55	0.56	0.56 (0.48-0.65)	38.5	75.4	71.6	43.1
Knee extensors	1.63 (1.03-2.59)	0.03	0.04	0.60 (0.52-0.58)	50	73	77.9	40.7
Ankle flexors	1.94 (1.03-3.67)	0.04	0.09	0.61 (0.53-0.70)	47.7	74.6	74.2	47.7
Ankle extensors	1.77 (1.02-3.05)	0.009	0.03	0.59 (0.50-0.67)	62	82	74.3	42
Hip abductors	1.69 (0.90-3.19)	0.19	0.13	0.57 (0.48-0.65)	29.5	99.9	85.7	38.6
Hip extensors	1.87 (0.80-3.24)	0.55	0.30	0.60 (0.51-0.68)	59.5	64	77.4	47.7
Elbow flexors	1.32 (0.75-2.32)	0.53	0.57	0.56 (0.47-0.64)	55.4	59.3	73.4	42.9
Elbow extensors	1.60 (0.69-3.69)	0.79	0.44	0.56 (0.47-0.64)	38.5	72.6	71	40.3

*p-value adjusted for age and sex. Legend: AUC= Area Under the Curve, PPV= Positive Predictive Value, NPV= Negative Predictive Value.

whereas 138 (67.6%) subjects did not lose autonomy over the 12 months of follow-up. Note that both groups were comparable in terms of age (p=0.13) and gender (p=0.29).

The development of isometric muscle strength reference thresholds

Table 1 shows the mean isometric strength normalized to body weight in the sample, for residents with loss of autonomy and those without loss of autonomy.

Subjects with decline of autonomy had lower isometric muscle strength for knee extensors (p=0.04), ankle extensors (p=0.03) and hip extensors (p=0.02), compared to the subjects without decline of autonomy.

Note that no significant difference was observed for isometric muscle strength normalized to body weight between men and women (p-values= 0.05; 0.40; 0.09; 0.08; 0.84; 0.74 for knee extensors, ankle flexors and extensors, hip extensors, elbow flexors and extensors, respectively), with the exception of knee flexors (p=0.04) and hip abductors (p=0.03). Therefore, the analyses will not be separated by gender in this article.

To create isometric muscle strength reference thresholds for nursing home residents, the cut-off value of the isometric strength of each muscle was calculated using the lowest quartile point (lowest 25%) (Table 2).

These threshold values ranged between 0.71 (elbow extensors) to 1.07 N/kg (knee extensors) for the total population. As expected, the values were higher for men than women. The difference according to gender is more marked for some muscle groups (e.g., elbow flexors and extensors).

Association between isometric muscle strength reference thresholds and the decline of autonomy

The thresholds values for isometric strength (P25) were significantly associated with the decline of autonomy for knee extensors, ankle flexors and ankle extensors (p=0.03, 0.04





and 0.0009, respectively) in univariate analyses (Table 3). Indeed, subjects with muscle strength lower than P25 are at higher risk for loss of autonomy.

After adjustment for age and sex, the reference threshold for isometric strength of knee extensors was independently associated with autonomy decline (OR=1.63, 95% CI=1.03-2.59, p=0.04). Subjects with low isometric strength of the knee extensors are 1.63 times more likely to have a loss of autonomy over a period of one year.

The reference threshold for isometric strength of ankle extensors was independently associated with autonomy decline, after adjustment for age and sex (OR=1.77, 95% CI=1.02-3.05, p=0.03). Subjects with low isometric strength of the ankle extensors are almost twice as likely to have a loss of autonomy over a period of one year.

The AUC value for the ankle extensors is 0.57 (sensibility= 62% and specificity= 82%; VPP= 74.3%; VPN= 42%). From a public health point of view, if we want to rely upon the specificity of the measurement (specificity of 90%) or the true negative rate, the cut-off value for isometric strength of the ankle extensors is 0.74 kg/m². For this threshold value, the specificity of the measurement is low (19.5%), the VPP is 78.8% and the VPN is 35.2%. In this circumstance, the isometric strength test could be used for screening the population. More robust follow-up tests should then be used for the diagnostic (Figure 1).

The AUC value for the knee extensors is 0.60 (0.52-0.58) (sensibility= 50% and specificity= 73%; VPP= 77.9%; VPN= 40.7%). From a public health point of view, if we want to rely upon the specificity of the measurement (specificity of 90%) or true negative rate, the cut-off value for isometric strength of the knee extensors is 0.73 kg/m². For this threshold value, the specificity of the measurement is low (24.6%), the VPP is 82.5% and the VPN is 36.5%. In this circumstance, the isometric strength test could be used for screening the

population. More robust follow-up tests should then be used for the diagnostic of the population (Figure 2).

Discussion

Increasingly compelling evidence has highlighted the potential role of muscular strength capacity as a protective factor for health across populations³². Clinicians often have access to muscle strength measurement tools but are unable to interpret the results (i.e., due to the lack of reference values and the difficulty in choosing "key muscles"). To aid clinicians in the assessment of muscular strength, we determined, by means of this prospective study, the reference values for isometric strength of 8 different muscle groups among physically active nursing home residents. Based on these reference values, the current results showed that, after adjustment for age and sex, poor maximal isometric strength of the knee extensors or ankle extensors was associated with an increased risk of loss of autonomy among nursing home residents. These two muscle groups can, therefore, be considered "key muscles" to evaluate in clinical practice. Hence, the establishment of these normative data is essential not only from an epidemiological point of view to predict adverse health outcomes but also from a clinical point of view to individualize patient care. The assessment of muscle strength, using the normative data for MicroFET2, would make it possible to evaluate one of the 5 domains of intrinsic capacities, namely, locomotion.

As there is substantial covariance between strength capacity and body mass, and, moreover, that the link between muscle strength and both physical function and chronic health is directly mediated by the proportion of strength relative to body mass, these new reference values have been normalized to body weight^{32,33}. The significant correlation between body weight and muscle strength is not surprising

because joints of the lower limbs must support body weight and muscle strength plays a role in joint stability³⁴. In addition, relative strength is more discriminative than absolute strength because two subjects with differing body weight and equal muscle strength do not present with the same functional outcome. This is consistent with other recent efforts to identify normative values or t-scores in non-U.S. cohorts and samples³⁵⁻³⁸. Note that in our cohort, the results vary greatly if strength is expressed in absolute value (i.e., no association between absolute strength and loss of autonomy) or in relative value.

To our knowledge, the predictive value of isometric muscle strength in the loss of autonomy has been poorly investigated, specifically in the nursing home setting. Moreover, previous studies have only focussed on specific muscle groups (i.e., grip strength and knee extensors). Thus, the present study could serve to fill the gap in the current literature. This study explored more comprehensively the relationship between the muscle strength of 8 different lower limb and upper limb muscle groups and the loss of autonomy among elderly nursing home residents. The investigated muscles are all strongly involved in the movements of daily life. Our results are in line with a previous finding that muscle strength is a predictor of ADL dependence⁶. In a prospective study performed among community-dwelling people aged 75 years, Rantanen et al. showed that those performing in the lowest third for grip strength, arm flexion strength, knee extension strength, trunk extension strength and trunk flexion strength had the greatest risk of ADL-dependence after 5 years. It is intuitive that the strength of a muscle group directly involved in a specific task is the strongest predictor of disability in that task⁶. The two muscle groups we identified as predictors of loss of autonomy are muscles of the lower limbs (ankle and knee extensors) that play an important role in supporting body weight against gravity and are the most involved in mobility and locomotion^{25,39}. Moreover, our study is the first to provide a threshold value for ankle extensor strength in the prediction of loss of autonomy.

The cut-off point for isometric strength of the knee extensors (Percentile 25) in our study is 1.07 N/kg, which is higher than the value observed in the study by Rantanen et al. (363 kg=37.02 N= ±0.56 N/kg)⁶. This difference can be explained by the protocol of muscle strength assessment, which is different between the study by Rantanen and our study (e.g., 1st tertile in the study by Rantanen and 1st quartile in our study; angle of 60° in the study by Rantanen and 90° in our study; the mean of three measurements was taken for the analysis in the study by Rantanen, whereas the best value was used in our study; the dynamometer used varies between studies). Moreover, the study by Rantanen was conducted in northern countries (i.e., Denmark, Sweden, and Finland) and our study was conducted in Belgium. We can hypothesize that the health status of nursing home populations is different between these countries (i.e., the definition of nursing home is country specific). As muscle strength measurements show a great deal of variation across geographical regions and national contexts, it is important to have region-specific reference values⁴⁰. Compared to the population studied by Rantanen, our population was older (87.7 vs. 75 years old). Moreover, our population had a lower mean body weight compared to the population studied by Rantanen (65.7 \pm 14.8 vs. 75.8 \pm 10.5 kg). It is also important to note that our sample was predominantly female; this ratio is comparable to the sex ratio that is generally present in nursing homes due to the longer life expectancy for women compared to men.

For screening purposes, the threshold values for isometric knee extensor and ankle extensor strength could be identified as 0.73 and 0.74 kg/m², respectively. With these values, the specificity of the measurement to predict the loss of autonomy is 90%; however, the sensibility is very low. Given this circumstance, the isometric strength tests could be used as a first line of testing, for screening, but more robust tests should follow for diagnostic. Previous studies had suggested that the MicroFET2 may be acceptable to recommend its use at the individual level (i.e., for screening purposes)⁴¹ and could be used for research purposes at the population level¹⁷.

Identifying interventions to prevent or delay the loss of autonomy is currently a public health priority in the successful management of the ageing population⁴². From a public health point of view, a preventive strategy should be implemented in nursing homes to improve the muscle strength of knee extensors and ankle extensors. In addition, from a clinical point of view, individualized strategies should be developed to manage muscle weakness. A systematic measurement of muscle strength is required for this individualized process.

Our study has certain limitations. First, due to the selection criteria of the study population, our results cannot be extrapolated to all nursing home residents, but they are applicable for physically active residents. Second, we used P25 to define the cut-off value of isometric strength; the results could be different with another defined cut-off point. Potential confounding factors related to loss of autonomy were not considered (e.g., the presence of multiple diseases, genetic factors). Nevertheless, according to Rantanen, adjustment for chronic diseases (such as diabetes, stroke, arthritis, chronic obstructive pulmonary disease and coronary heart disease) did not materially decrease the predictive power of muscle strength on loss of autonomy⁶. This could be explained because the effect of disease on disability is mediated through decline in muscle in muscle strength⁴³. Third, the brief period of one year between the first and second assessments might have influenced the lack of association between isometric strength of certain muscles and loss of autonomy. It would be interesting to confirm our results after a longer-term period before follow-up. Finally, about a guarter of our population participated in exercise class proposed in nursing home, which may have led to an increase in mean strength. Consequently, the latter may be overestimated compared to the general population in a nursing home. It has been established that muscle strength can increase substantially through physical exercise and strength training at all ages, even in the frailest subset of older people^{44,45}. Therefore, the threshold defined in the present study offers an interesting perspective from a public health perspective, to consider if weak people who improve their muscle strength also improve their autonomy.

In conclusion, this study presented reference values for the isometric strength of 8 different muscle groups for people in the nursing home setting, derived from the SENIOR population. Of these threshold values, two are independent predictors for a loss of autonomy (i.e., knee extensors and ankle extensors). From a public health point of view, the isometric strength test, which is acceptable and suitable for an elderly population, could be used at the population level in research settings and at the individual level in clinical practice for screening purposes. The prediction of loss of autonomy, achieved through a relatively simple measure of isometric strength, could be useful for assessing locomotion, a key domain of intrinsic capacities.

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